

DVHA Routing Form

Revision Date 5/1/12

Type of Agreement: Contract Agreement #: 23099 Form of Agreement: Amendment Amendment #: 3

Name of Recipient: University of Vermont and Stage Agriculture College Vendor #: 42844

Program Manager : Kelly Gordon Phone #: 802-879-5905

Agreement Manager: Meaghan Kelley Phone #: 802-871-3302

Brief
Explanation of Agreement: **This Amendment will adjust payment provisions and make changes to Project 4.**

Start Date: 10/1/2012 End Date: 9/30/2014 Maximum Amount: \$1,336,085.00

Amendments Only: Maximum Prior Amount: \$1,336,085.00 Percentage of Change: 0.00%

Bid Process (Contracts Only): Standard Simplified Sole Source Statutory Master Contract SOW

Funding Source

Global Commitment 93.778	\$1,326,085.00		

Contents of Attached Packet

- | | | |
|---|---|---|
| <input checked="" type="checkbox"/> AA-14 | <input checked="" type="checkbox"/> Attachments A, B, C & F | <input type="checkbox"/> Attachment G - Academic Research |
| <input checked="" type="checkbox"/> Sole Source Memo | <input type="checkbox"/> Attachment D - Modifications to C & F | <input type="checkbox"/> MOU |
| <input type="checkbox"/> Qualitative/Justification Memo | <input checked="" type="checkbox"/> Attachment E - Business Associate Agreement | <input checked="" type="checkbox"/> Other: Base, Amendment 1 & 2 |

Reviewer	Reviewer Initials	Date In	Date Out
DVHA Grant & Contract Administrator	Kate Jones	K.J.	
DVHA BO	Carrie Hathaway	C.H.	
DVHA Commissioner or Designee	Mark Larson, Commissioner	M.L.	
AHS Attorney General	Seth Steinzor, AAG	S.S.	
Following Approvals for Contracts Only:			
AHS CIO			
AHS Central Office	Diane Nealy	D.N.	
AHS Secretary	Dixie Henry	D.H.	

Vision Account Codes:

FFATA Entry Grant Tracking Module Vision PO #: _____ Initials & Date: _____ Approval & B/C: _____

STATE OF VERMONT CONTRACT SUMMARY AND CERTIFICATION ----- Form AA-14 (8/22/11)

Note: All sections are required. Incomplete forms will be returned to department.

I. CONTRACT INFORMATION:

Agency/Department: AHS/ DVHA Contract #: 23099 Amendment #: 3
 Vendor Name: University of Vermont and State Agriculture College VISION Vendor No: 42844
 Vendor Address: College of Medicine; St. Josephs 7, UHC Campus, 1 So. Prospect Street, Burlington, VT 05401
 Starting Date: 10/1/2012 Ending Date: 9/30/2014 Amendment Date: 5/6/2013
 Summary of agreement or amendment: This Amendment will adjust payment provisions and make changes to Project 4

II. FINANCIAL INFORMATION

Maximum Payable: \$1,336,085.00 Prior Maximum: \$ 1,336,085.00 Prior Contract # (If Renewal): 13762
 Current Amendment: \$0.00 Cumulative amendments: \$ 0.00 % Cumulative Change: 0.00 %
 Business Unit(s): 3410; ; - [notes:] VISION Account(s): 507600;

III. PERFORMANCE INFORMATION

Does this Agreement include Performance Measures tied to Outcomes and/or financial reward/penalties? Yes No

Estimated Funding Split: G-Fund % S-Fund % F-Fund % GC-Fund 100.00 % Other %

IV. PUBLIC COMPETITION

The agency has taken reasonable steps to control the price of the contract or procurement grant and to allow qualified organizations to compete for the work authorized by this contract. The agency has done this through:

Standard bid or RFP Simplified Bid Sole Sourced Qualification Based Selection Statutory

V. TYPE OF AGREEMENT & PERFORMANCE INFORMATION

Check all that apply: Service Personal Service Architect/Engineer Construction Marketing
 Information Technology Other, describe:

VI. SUITABILITY FOR CONTRACT FOR SERVICE

Yes No n/a If this is a Personal Service contract, does this agreement meet all 3 parts of the "ABC" definition of independent contractor? (See Bulletin 3.5) If NO, then contractor must be paid through Payroll

VII. CONTRACTING PLAN APPLICABLE:

Are one or more contract or terms & conditions provisions waived under a pre-approved Contracting Plan? Yes No

VIII. CONFLICT OF INTEREST

By signing below, I certify that no person able to control or influence award of this contract had a pecuniary interest in its award or performance, either personally or through a member of his or her household, family, or business.

Yes No Is there an "appearance" of a conflict of interest so that a reasonable person may conclude that this party was selected for improper reasons: (If yes, explain)

IX. PRIOR APPROVALS REQUIRED OR REQUESTED

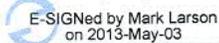
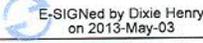
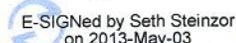
Yes No Agreement must be approved by the Attorney General under 3 VSA §311(a)(10) (personal service)
 Yes No I request the Attorney General review this agreement as to form
 No, already performed by in-house AAG or counsel: _____ (initial)
 Yes No Agreement must be approved by the Comm. of DII; for IT hardware, software or services and
 Telecommunications over \$100,000
 Yes No Agreement must be approved by the CMO; for Marketing services over \$15,000
 Yes No Agreement must be approved by Comm. Human Resources (privatization and retiree contracts)
 Yes No Agreement must be approved by the Secretary of Administration

X. AGENCY/DEPARTMENT HEAD CERTIFICATION; APPROVAL

D.N.

E.B.

I have made reasonable inquiry as to the accuracy of the above information:

			
Date	Agency / Department Head	Date	Agency Secretary or Other Department Head (if required)
			
Date	Approval by Attorney General	Date	Approved by Commissioner of Human Resources
			
Date	CIO	Date	CMO
Date		Date	Secretary of Administration

MEMORANDUM

TO: Jeb Spaulding, Secretary of Administration M.C.

FROM: Mark Larson; Commissioner, Department of Vermont Health Access M.L.

DATE: April 18, 2013

RE: University of Vermont, Contract #23099, Amendment #3
No Cost Amendment – Modifies Scope for Project #4

DVHA is requesting to extend the time period for Project #4 through September 30, 2013. Initially, this Project was expected to last only two months; however, the volume of survey returns resulted in data entry taking more time than originally estimated. In addition, the *Child and Adolescent Psychiatric Medications Trend Monitoring Group* is not meeting until June 13, 2013. UVM will present the study findings at this meeting and would like to be able to include the data analyst in this meeting. In the event that the *Group* requests additional data analyses, DVHA would like UVM to be able to respond to these requests.

This amendment makes no increase to maximum amount. There is no change in term length. This Amendment complies with all mandatory provisions of AOA Bulletin 3.5.

AMENDMENT

It is agreed by and between the State of Vermont, Department of Vermont Health Access (hereafter called the "State") and University of Vermont (hereafter called the "Contractor") that the contract on the subject of special programs and Medicaid clinical management, effective October 1, 2012, is hereby amended effective May 6, 2013, as follows:

1. By deleting on page 2 of 6 of Amendment 2, Project Timeline/Duration, and substituting in lieu thereof the following:

Project Timeline/Duration

- The project is expected to last eight (8) months, from March 7, 2013 through September 30, 2013.
- Present study findings to the *Child and Adolescent Psychiatric Medications Trend Monitoring Group* at the June 2013 meeting or no later than the *Group's* next scheduled meeting.
- Contractor will be available until September 30, 2013 to respond to any data analysis requests.

2. By deleting on page 2 of 6 of Amendment 2, bullet 3, which adds Project 4 to Attachment B (Payment Provisions), Section B (All New Projects), and substituting in lieu thereof the following:

1. **Project 4: Pediatric Antipsychotic Medication Survey**

The Contractor will bill the State, upon successful completion of this project, in which the project is determined complete by the State, an amount not to exceed \$4,000.

This project is expected to last eight (8) months, from March 7, 2013 through September 30, 2013. Results will be presented to the *Child and Adolescent Psychiatric Medications Trend Monitoring Group* at the June 2013 meeting or no later than the *Group's* next scheduled meeting. The Contractor shall be available until September 30, 2013 to respond to any data analysis requests.

This amendment consists of 1 page. Except as modified by this amendment and any previous amendments, all provisions of this contract, (#23099) dated October 1, 2012 shall remain unchanged and in full force and effect.

STATE OF VERMONT
DEPARTMENT OF VERMONT HEALTH ACCESS

CONTRACTOR
UNIVERSITY OF VERMONT

E-SIGNED by Mark Larson
on 2013-May-06

E-SIGNED by Ruth Farrell
on 2013-May-06

MARK LARSON, COMMISSIONER

DATE

RUTH FARRELL, ASST. VP RESEARCH ADMIN

DATE